

## **M.COM**

### **SEMESTER-III**

(MCMM22301T): MANAGEMENT OF FINANCIAL SERVICES

**MAX. MARKS: 100**

**EXTERNAL: 70**

**INTERNAL: 30**

**PASS: 40%**

**Credits:4**

**Objective:** This course aims at acquainting the participants with the operations, functions and management of banking and insurance sector. It will enable the students to know more about emerging trends in banking and insurance sector.

#### **INSTRUCTIONS FOR THE PAPER SETTER/EXAMINER:**

1. The syllabus prescribed should be strictly adhered to.
2. The question paper will consist of three sections: A, B, and C. Sections A and B will have four questions from the respective sections of the syllabus and will carry 10 marks each. The candidates will attempt two questions from each section.
3. Section C will have fifteen short answer questions covering the entire syllabus. Each question will carry 3 marks. Candidates will attempt any ten questions from this section.
4. The examiner shall give a clear instruction to the candidates to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.
5. The duration of each paper will be three hours.

#### **INSTRUCTIONS FOR THE CANDIDATES:**

Candidates are required to attempt any two questions each from the sections A and B of the question paper and any ten short questions from Section C. They have to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.

### **SECTION-A**

#### **Financial System, Markets and Services**

Financial System

Financial Markets and Institutions

Financial services: An introduction  
Management of Risk in Financial Services  
Regulatory Framework

### **Financial Market Operations & Services**

Stock Exchanges: Functions and Organisation  
Broking and Trading in Equity  
Broking and Trading in Debt Instruments  
Depositories

### **Fee Based Services I**

Issue Management  
Corporate Advisory Services

## **SECTION B**

### **Fee Based Services II**

Credit Rating  
Mutual Funds  
Asset Securitization

### **Fund Based Services**

Leasing and Hire Purchase  
Housing Finance  
Credit Cards  
Venture Capital  
Factoring, Forfaiting and Bill Discounting

### **Insurance Services**

Life Insurance  
Non-Life Insurance  
Insurance Broking Services

### **Suggested Readings:**

1. Indian Financial System by H.R. Machiraju, Vikas Publishing House Pvt. Ltd.
2. Indian Financial System by Varshney & Mittal, Sultan Chand & Sons.
3. Management of Indian Financial Institutions by R.M.Srivastava – Himalya Publishing

House.

4. Managing Indian Banks by Vasant C. Joshi, Sage Publications.
5. Indian Financial System by Vasant Desai, Himalya Publising House.
6. Financial Institutions & Markets by L.M.Bhole.
7. M.Y. Khan, Financial Services, Tata McGraw Hills, New Delhi,